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PTAS

DORSEY & WHITNEY LLP
ROBIN M. SILVA
FOUR EMBARCADERO CENTER, STE. 3400
SAN FRANCISCO, CA 94111-4187

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UNITED STATES PATENT AND TRADEMARK OFFICE
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BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HORWITZ, DAVID A.

DOC DATE: 07/08/2002

ASSIGNEE:

SOUTHERN CALIFORNIA, UNIVERSITY OF
3716 SOUTH HOPE STREET
LOS ANGELES, CALIFORNIA 90007-4344

SERIAL NUMBER: 09833526

FILING DATE: 04/11/2001
ISSUE DATE:

PATENT NUMBER:

SHAREILL COLES, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

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Docket No. 469443-00013[A68983-1/RFT/RMS/RMK]

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(Rev. 6-93)

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RECORDATION COVER SHEET PATENTS ONLY

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Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name(s) of conveying party(ies): 8.13.02
HORWITZ, David A.

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: _____

2. Name and address of receiving party(ies)

Name: University of Southern CaliforniaStreet Address: 3716 South Hope StreetCity: Los Angeles State: CAZIP: 90007-4344Country: USAAdditional name(s) & address(es) attached ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s) 09/833,526

B. Patent No.(s) _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robin M. SilvaInternal Address: DORSEY & WHITNEY LLPStreet Address: Four Embarcadero Center, Ste. 3400City: San Francisco State: CA ZIP 94111-4187

6. Total Number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) ... 1 x \$40. \$40

- ☒ Enclosed
☐ Authorized to be charged to deposit account

8. Deposit Account number: 50-2319

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Renee M. Kossiak, Reg No. 47,717

Filed under 37 C.F.R. § 1.34(a)

Name of Person Signing

Signature

Reg. No.

Date 8/8/02Total number of pages including cover sheet, attachments, and document: 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks,
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08/12/2002 AAME:DL 00000006 09632526

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ASSIGNMENT

WHEREAS, the undersigned David A. Horwitz, Santa Monica, California; has invented certain new and useful improvements in and have filed an application for a United States patent entitled: **A METHOD TO PREVENT GRAFT REJECTION USING TGF- β TO INDUCE T SUPPRESSOR CELLS** bearing Serial No. 09/833,526, filed April 11, 2001, disclosing and identifying the invention; and

WHEREAS, University of Southern California, a corporation of the state of CALIFORNIA, having a place of business at 3716 South Hope Street, Suite 313, Los Angeles, 90007-4344, State of CALIFORNIA, hereinafter termed "Assignee"), is desirous of acquiring the entire right, title and interest in and to said application and the invention disclosed therein, and in and to all embodiments of the invention, heretofore conceived, made or discovered jointly or severally by said Inventor (all collectively hereinafter termed "said invention"), and in and to any and all patents, inventor's certificates and other forms of protection (hereinafter termed "patents") thereon granted in the United States and foreign countries.

NOW, THEREFORE, in consideration of good and valuable consideration acknowledged by said Inventors to have been received in full from said Assignee:

1. Said Inventors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest (a) in and to said application and said invention; (b) in and to all rights to apply for foreign patents on said invention pursuant to the International Convention for the Protection of Industrial Property or otherwise; (c) in and to any and all applications filed and any and all patents granted on said invention in the United States or any foreign country, including each and every application filed and each and every patent granted on any application which is a division, substitution, or continuation of any of said applications; and (d) in and to each and every reissue or extensions of any of said patents.

2. Said Inventors hereby covenant and agree to cooperate with said Assignee to enable said Assignee to enjoy to the fullest extent the right title and interest herein conveyed in the United States and foreign countries. Such cooperation by said Inventor shall include prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, specifications, declarations or other papers, and other assistance all to the extent deemed necessary or desirable by said Assignee (a) for perfecting in said Assignee the right, title and interest herein conveyed; (b) for prosecuting any of said applications; (c) for filing and prosecuting substitute, divisional, continuing or additional applications covering said invention; (d) for filing and prosecuting

applications for reissuance of any said patents; (e) for interference or other priority proceedings involving said invention; and (f) for legal proceedings involving said invention and any applications therefor and any patents granted thereon, including without limitation opposition proceedings, cancellation proceedings, priority contests, public use proceedings, infringement actions and court actions; provided, however, that the expense incurred by said Inventor in providing such cooperation shall be paid for by said Assignee.

3. The terms and covenants of this assignment shall inure to the benefit of said Assignee, its successors, assigns and other legal representatives, and shall be binding upon said Inventors, their heirs, legal representatives and assigns.

4. Said Inventors hereby warrant and represent that they have not entered and will not enter into any assignment, contract, or understanding in conflict herewith.

IN WITNESS WHEREOF, the said Inventor has executed and delivered this instrument to said Assignee this

(1) 8th day of July, 2002
respectively.

(1)

David A. Horwitz

County of Los Angeles
State of California

)
) ss
)

On this 8th day of July, in the year 2002, before me,
OLIVIA I. MONTES, Notary Public of the State of CALIFORNIA,
personally appeared (1) David A. Horwitz, personally known to me (or
proved to me on the basis of satisfactory evidence) to be the person whose
name is subscribed to the within instrument, and acknowledged that he/she
executed the same in his/her authorized capacit(ies), and that by his/her
signature on the instrument the person, or the entity upon behalf of which
the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature

Olivia I. Montes

(Seal)

